EFCOG Risk Management Task Team Monthly Telecom

Meeting Minutes - Wednesday March 2nd, 2022, 1:00PM EST

Attendees:

- Lisa Coleman
- Scott Noga
- Diane Kohne (Vice-Chair)
- Mindy DeLong-Weetch
- Josh Ramirez
- J.R. Thomas (Vice-Chair)
- Josh Wargo (Chair)
- Ryne Reece
- David Rochester
- Jim Osborne
- Clayton Ewen

- **Safety Topic** – March is Brain Injury Awareness month. Some pertinent facts and statistics:
    - Acquired Brain Injuries (ABIs) are injuries to the brain that are not hereditary, congenital, degenerative, or birth trauma induced.
    - Traumatic Brain Injuries (TBIs) are a more severe subcategory of ABIs and are a major cause of death and disability in the U.S.
        - In the US, an ABI occurs about every 9 seconds and can include electric shock, infectious disease, lightning strikes, hypoxia (or oxygen deprivation), toxic exposure, vehicle accidents, stroke.
        - There are about 3.6 million ABIs and 2.8 million TBIs sustained each year.
        - At least 5.3 million Americans live with a TBI-related disability, which is about 1 in every 60 people.
        - TBIs contribute to approximately 30% of all injury related deaths.
        - The leading causes of TBIs include falls (48%), being struck by something or against something (17%), and motor vehicle accidents (13%).
    - Focusing on the facility and construction environment, a useful acronym to remember is **SAFE**
        - **S**urface – Consider what surface you're working on, its condition, any changes in elevation, etc…
        - **A**ttention – Being aware of your surroundings when working at heights.

- ▪ **F**ootwear – Making sure you've got the appropriate PPE, not just an appropriately related helmet or steel-toed shoes, but also good traction on the soles.
    - ▪ **E**nvironment – Includes weather awareness, making sure there's adequate lighting, dryness, or any other environmental factor which could contribute to a fall.

- **Changes Since Last Meeting** - None

- **FY22 Initiatives Status**
    - o 1.2.1 - Development and deployment of methodologies for MR and risk transparency on FAR-based contracts (Winship/Thomas)
        - ▪ Latest update: Still need to connect with Charles Simpson on how we can support the Risk Management LL section of the Acquisition Group's FY22 Initiative 2.1 best practices white paper.
            - • Current bandwidth has limited progress - Will push follow-up action to April meeting.
            - • Acquisition group tracking end of FY22 to issue best practices.
            - • Action Item 12.1– J.R. to attempt closing loop with Charles Simpson prior to April meeting.
    - o 1.2.2 & 1.2.3 – Maturing EFCOG bias management training materials and pilot training (Josh Ramirez)
        - ▪ Latest update: Exploring opportunity to conduct training at SRS and PNNL
        - ▪ Action Item -
        - ▪ SRS has completed transition. Diane to reach out to new training manager.
            - • Action Item 3-22.2 – Josh W. to reach out to EFCOG Training Group (Ted Glitz) to determine support capacity to formalize training module from existing materials. Will loop in Josh R., Diane, and Mindy to maintain alignment on progress and support requirements from potential training sites.
    - o 1.2.4 – Development of best practice guidance for pre-CD-2/3A risk management activities (Wargo)
        - ▪ Latest update: Roadmap template issued in February. No significant comments on the overall approach to date. Will be moving forward with white paper development starting this month.
    - o 1.2.5 – Development of a joint confidence level (JCL) white paper to provide recommended updates to DOE G 413.3B-7B (Wargo/Stieman)

- ▪ Latest update: Roadmap template issued in February. No significant comments on the overall approach to date. Will be moving forward with white paper development starting this month. Josh W. and Sam to reach out to Ryne for project model comparison data.

- **Action List Review** – No additional updates

- **Ad Hoc Discussion Topic** – How to incorporate Category 1 risks and estimate uncertainty into cost and schedule estimates within an IDIQ FAR-based contract structure without them being targeted for removal as part of final contract negotiations.
    - o Somewhat tricky issue, which is why it is important for the group to provide appropriate feedback to the Acquisition Support Group best practices initiative.
    - o Need to maintain alignment with GAO 12 steps best practices for cost estimating, while also meeting policymaker mandate for not calling out MR as a separate entity (traceability vs. transparency).
    - o Multi-faceted challenge:
        - ▪ Ensuring adequate risk reserves for (potentially under-defined) task order scope
        - ▪ Providing clear BOE documentation to justify cost and risk build-up
        - ▪ Clearly documenting assumptions on inclusions/exclusions and ensuring correct risk ownership assignments (contractor vs. DOE) as part of cost negotiations to mitigate downstream REA claim conflicts
        - ▪ Adjusting to different COs with respect to individual preferences and risk tolerances
        - ▪ Navigating potentially conflicting cost grouping requirements between current DOE IDIQ policy and EVMS Gold Card.

- **Open Floor for Discussion of Additional Items**
    - o Requesting process improvement suggestions or recommendations for future monthly meetings.
    - o Requesting additional Ad Hoc discussion topics the team would like to cover in future meetings.
        - ▪ Potential topic – Obstacle identification