



# Personnel Security Vetting

## April 2021

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Office of Departmental Personnel Security (AU-53)



# Agenda

- DOE O 472.2 Integrated Project Team
- What is Trusted Workforce?
  - Elements
  - Phases and Milestone Dates
- Trusted Workforce Working Group
- Questions and Answers



# DOE O 472.2

## Integrated Project Team

- Background
  - February 2020, received DRB approval to start IPT
    - 20 IPT Members 5 IPT SMEs
  - March 2020, completed a 3-day WebEx Collaboration
  - April – May 2020, IPT sub working groups
  - May – present, we meet on a monthly basis



# DOE O 472.2

## Integrated Project Team

- February 2020, received DRB approval to start IPT
  - 20 IPT Members 5 IPT SMEs

Naval Reactors Laboratory Field Office; Headquarters Personnel Security Operations (AU); General Counsel; Environmental Management; Nuclear Energy; DOE-Idaho; Intelligence and Counterintelligence; International Affairs; National Nuclear Security Administration; Richland Operations Office; Savannah Rivers Operations Office; Science; Management; Idaho National Laboratory; National Renewable Energy Laboratory; Oak Ridge National Laboratory; Pacific Northwest National Laboratory; Sandia National Laboratory; Argonne National Laboratory; Kansas City National Security Campus; Lawrence Livermore National Laboratory; Los Alamos National Laboratory; Chief, Human Capital Office



# DOE O 472.2

## Integrated Project Team

- March 2020 IPT WebEx Collaboration
  - Day 1 Topics of Discussions
    - SEAD 7, Reciprocity
    - Other Government Agency Clearances
    - Dual Citizenship
    - Reinstatements and Reapprovals
    - SEAD 8, Temporary Eligibility



# DOE O 472.2

## Integrated Project Team

- March 2020 IPT WebEx Collaboration
  - Day 2 Topics of Discussions
    - Processing Investigation Results
    - SEAD 6, Continuous Evaluation
    - Intra-Agency Security Clearance Actions
    - Administrative Withdrawals of Clearances
    - SEAD 3 Reporting Requirements



# DOE O 472.2

## Integrated Project Team

- March 2020 IPT WebEx Collaboration
  - Day 3 Topic of Discussions
    - Appendix –C Personnel Security Quality and Training
    - Appendix – D Personnel Security Files
    - Appendix – E Adjudicative Considerations related to Statutory Requirements and Departmental Requirements
    - Contractor Requirements Document



# DOE O 472.2

## Integrated Project Team

- March 2020 IPT WebEx Collaboration
  - Day 3 Topic of Discussions, con't
    - Open discussion topics:
      - Quality Assessment Reporting Tool
      - Homeland Security Presidential Directive -12
      - Eligibility To Hold a Sensitive Position – w/o Clearance





# DOE O 472.2

## Integrated Project Team

- March 2020 IPT WebEx Collaboration
  - Created six working groups that met from April- May 2020
    - SEAD 3 Reporting Requirements
    - SEAD 6 Continuous Evaluation
    - Intra Agency Security Clearances
    - Personnel Security Files
    - Eligibility to Hold a Sensitive Position
    - Dual Citizens



# DOE O 472.2

## Integrated Project Team

- May - present
  - Conducted Monthly
  - Approach to updating Order
  - IPT members comments and feedback
  - Update Order to align with National policy
  - Maintain Secretary's Authority for Restricted Data



# DOE O 472.2

## Integrated Project Team

- Next Steps
  - Used Review and Comment (RevCom) process for the first draft
  - IPT finalizing first draft
  - Challenges for the IPT
  - Directive Review Board Coordination
  - Request Secretarial Approval



# What is Trusted Workforce (TW)?

- TW provides a “clean-slate” review of personnel vetting processes, policies, and information technology, while it charts a path forward for future vetting
- TW will take the Federal personnel vetting process from the current state of traditional periodic reinvestigations of every 5-10 years intervals, to a continuous vetting process wherein agencies will receive real-time or near real-time information on an individual

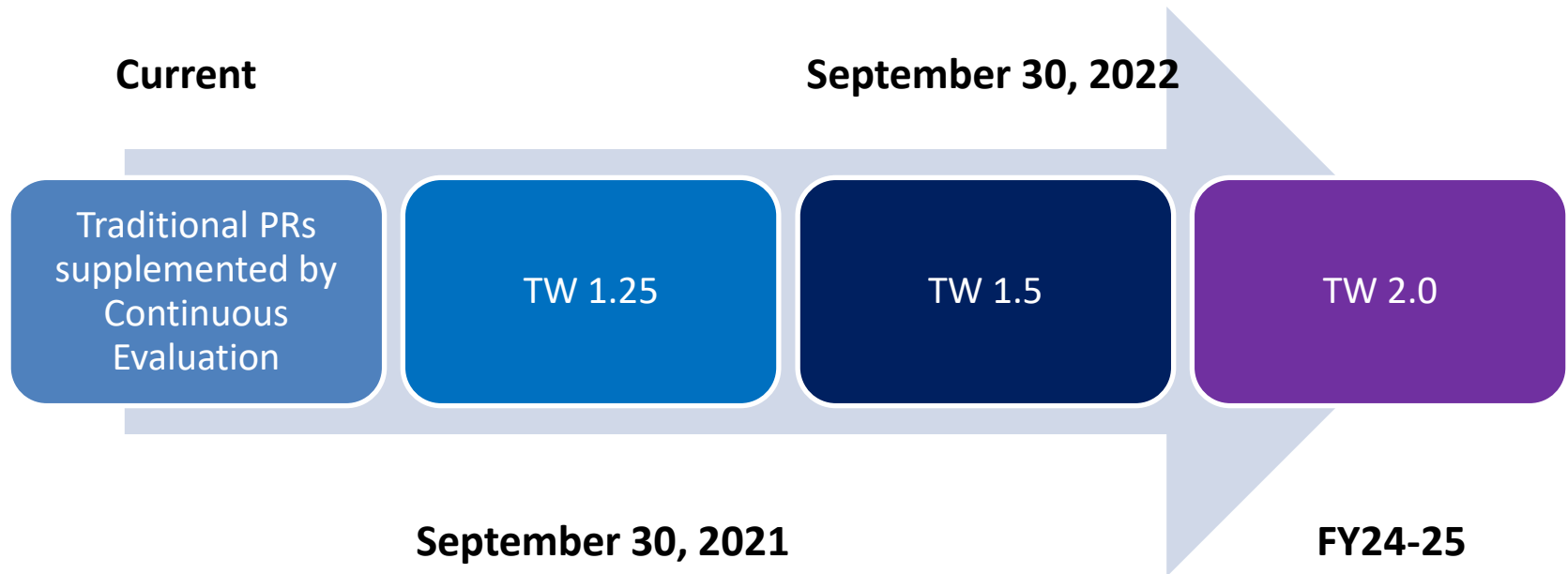


# Elements

- A key element of TW is the use automated records checks with varying periodicity ranging from daily to annually
- These checks are augmented by:
  - Reportable information in accordance with Security Executive Agent 3, *Reporting Requirements for Personnel with Access to Classified Information or Who Hold a Sensitive Position*
  - *Agency specific information (e.g., User Activity Monitoring, Incident Reports, Security Violations, information provided by Local Insider Threat Working Groups, etc.)*
  - Periodic collection of SF-86 (minimum of every 5 years)
  - Employment checks (minimum of every 5 years)
  - Criminal checks (Local checks) – (minimum of every 5 years)
  - Subject interviews (minimum of every 5 years)



# Phases and Milestone Dates





# TW Working Group (TWWG)

- With the numerous actions required by agencies in implementing TW our office formed this working group
- Benefits of the TWWG
  - Allows for better prioritization of needs;
  - Provides greater overall transparency; and
  - Facilitates consistency as we move forward to implementing TW
- The work of this group will have a significant impact upon the future success of the Department of Energy Personnel Security Program.
- What the TWWG is working on
  - TW 1.25
  - TW 1.5



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