

# Classified Information Security Enforcement Program Update

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Office of Enterprise Assessments



## Overview

- Security Enforcement Office Update
- Security Enforcement Activities Update
- Classified Information Security Incident Data



## Security Enforcement Office Update

### Staffing:

- Carrienne Zimmerman, Director
- Charles Isreal, Enforcement Officer
- Karen Sims, Enforcement Officer
- Jill Gesell, Contractor Administrative Support
- Sheila Brumage, Contractor Safeguards and Security Information Management System (SSIMS) Support
- Linwood Livingston, Contractor Security Specialist Support

## Security Enforcement Activities Update

Investigation – National Technology and Engineering Solutions of Sandia, LLC at Sandia National Laboratories, New Mexico:

- Introduction of Classified Information Into Unapproved Information Systems and Potential Unauthorized Disclosure – October 2019
  - Issues:
    - ☐ Proper identification, review, and marking of classified information
    - ☐ Information protection and control
    - ☐ Classified cyber security
    - ☐ IOSC inquiries
    - ☐ Causal analysis and corrective actions

## Security Enforcement Activities Update (cont'd)

Investigation – National Technology and Engineering Solutions of Sandia, LLC at Sandia National Laboratories, New Mexico (cont'd):

- Outcome: Pending – Settlement Agreement



## Security Enforcement Activities Update

Investigation – National Technology and Engineering Solutions of Sandia, LLC at Sandia National Laboratories, New Mexico:

- Introduction of Unauthorized Electronic Equipment (Panasonic Pan-Tilt-Zoom Cameras) into Security Areas and the Potential Unauthorized Disclosure of Classified Information – Pending (NOI – October 2020)

# Security Enforcement Activities Update (cont'd)

## Fact-Finding Visits:

- Savannah River Nuclear Solutions, LLC at the Savannah River Site,  
– Continued Noncompliance with Requirements for Timely  
Notification and Closure of Category A IOSCs – April 2019
  - Outcome: Enforcement Letter – August 2019
- Consolidated Nuclear Security, LLC at the Pantex Plant , –  
Unauthorized Use of Classified Information Systems and Potential  
Disclosure of Classified Information – October 2020 (virtual)
  - Outcome: Pending – Enforcement Letter



## Security Enforcement Activities Update (cont'd)

### Other Activities:

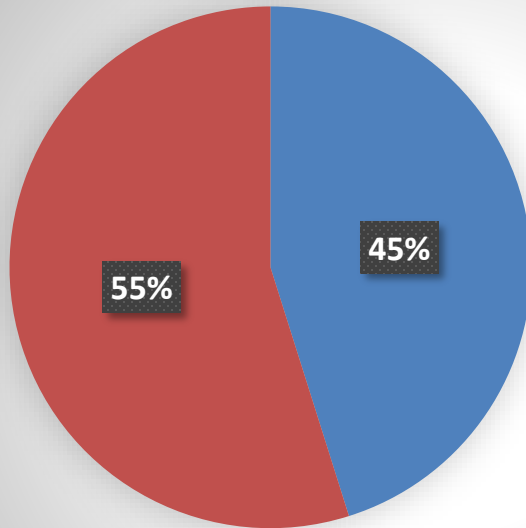
- Regulatory Program Assistance Review
  - Triad National Security, LLC – January 2020
  - Portsmouth/Paducah Project Office – Scheduled April 2021 (virtual)





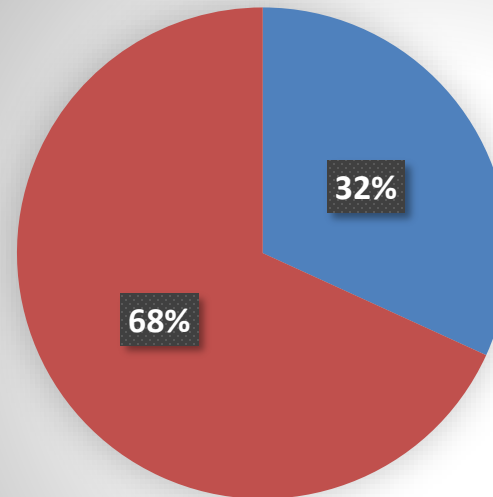
# Classified Information Security Incidents Comparative Trending Data

## FY 2020 Incidents Involving Classified Information = 226



- Incidents NOT Involving Classification Concerns = 102
- Incidents Involving Classification Concerns = 124

## FY 2021 Incidents Involving Classified Information = 22



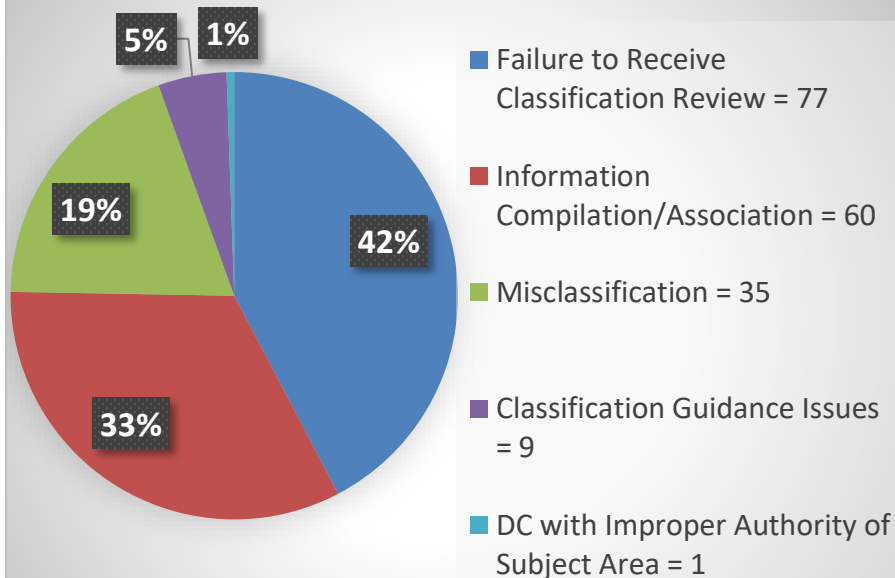
- Incidents NOT Involving Classification Concerns = 7
- Incidents Involving Classification Concerns = 15



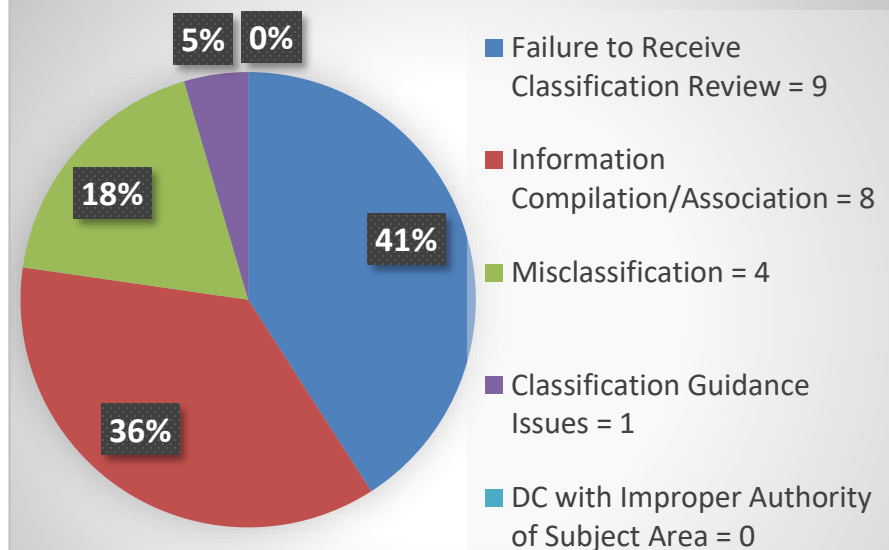
# Incidents Involving Classification Concerns

## Comparative Trending Data

### FY 2020 Incidents Involving Classification Concerns = 124



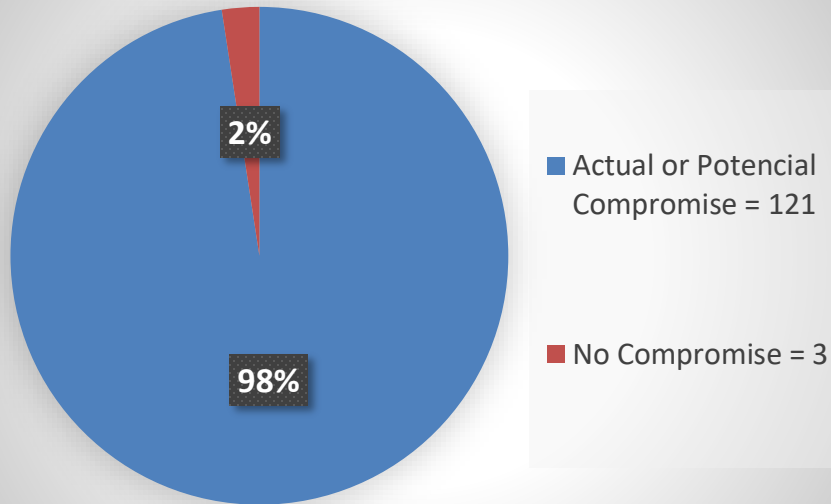
### FY 2021 Incidents Involving Classification Concerns = 15



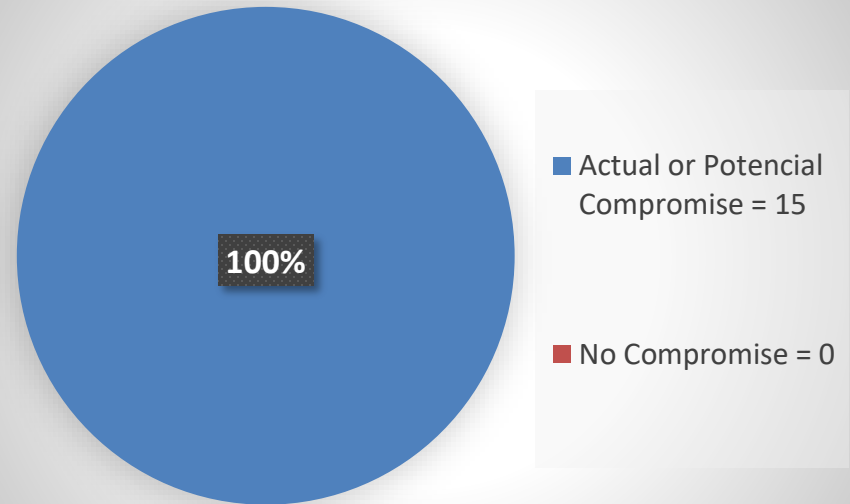


## Incidents Involving Classification Concerns Comparative Trending Data (cont'd)

### FY 2020 Closed Incidents Involving Classification Concerns = 124



### FY 2021 Closed Incidents Involving Classification Concerns = 15



## Security Enforcement Reference Tools

Office of Security Enforcement Website

<http://energy.gov/ea/services/enforcement>

Enforcement Process Overview and  
Enforcement Coordinators Handbook

<http://energy.gov/ea/services/enforcement/enforcement-program-and-process-guidance-and-information>



## Contact Information

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Questions?