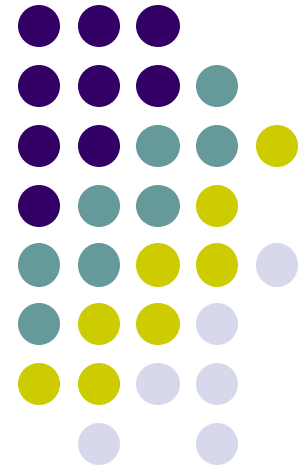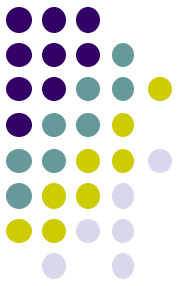# EFCOG Contractor Assurance Work **Subgroup** FY2024 Status and FY25 Plan

Michael Peloquin

Washington River Protection Solutions

Working Subgroup Chair

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CAS Leadership

John Byram, Sandia - CAS Sub-Group Vice Chair

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Community of Practice Chairs

- John Byram, Sandia - Chair CoP Assurance Integration

  jwbyram@sandia.gov

- Seth Littleton, PNNL – Chair CoP Performance Assurance,

  seth.littleton@pnnl.gov replacing Dana Storms
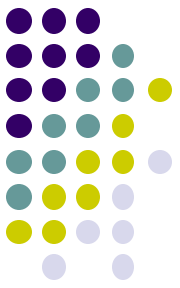
- Shannon Mace, PNNL – Secretary,

  Shannon.mace@pnnl.gov

- Tina Fehringer DOE – Sponsor
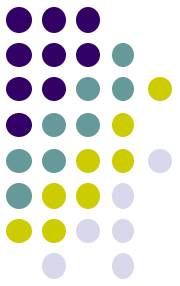
  kristina.fehringer@hq.doe.gov

Contractor Assurance System Task Status

- **CAS-24-01** – Review and recommend improvement to the CAS EFCOG Maturity Model - Complete
  - Completed the review and identification of recommended improvements to the CAS EFCOG Tool.
  - Strong support from an experienced team of CAS professionals
  - Published and available on the share site
- **CAS-24-02** – Develop draft CAS education for leadership. – Final Draft issued
  - Contractor Assurance Systems (CAS) Education for CAS Leadership is in final draft and should be published by the end of CY25.
  - Core Topics focused educational areas include: Core Principles, Roles and Responsibilities, Risk Mgmt, Assessments and Performance Evaluations
  - Developed educational outlines for recommended and optional topics
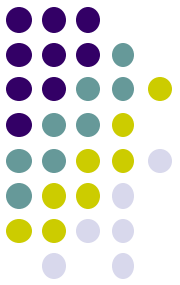- Final Draft of CAS Charter to be published in 12/24

EFCOG CONTRACTOR ASSURANCE GROUP ANNUAL PLAN 2024

Activity	Benefit(s)	Deliverable/Key Milestone(s)
CAS-25-01 – Completion of the activities and improvements for the EFCOG *CAS Maturity Evaluation Tool*	Maintain alignment with the latest understanding of assurance and maturation of CAS implementation across the complex.	1. Create a section to explain the purpose and use of the EFCOG *CAS Maturity Evaluation Tool.* 2. Conduct Benchmarking to identify best practices. 3. Expand the tool to incorporate best practices and references
CAS-25-02 – Develop scoping descriptions for the CAS Education Core topics to improve the usability of the document	Provides additional clarity for the intent and scope of training in each to the core topical areas.	Expand on the outline to describe the scope of the overarching core topical areas.

Note: The 2024 activities will be completed as written. CAS 25-01 & CAS 25-02 are new activities which will improve upon the documents published under the 2024 activities.
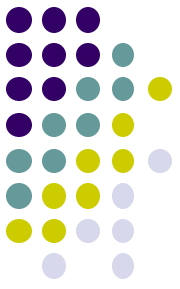
QUESTIONS?

PEOPLE

PROCESS

TOOLS

CAS COPs Focus

Performance Assurance CoP – *executing and strengthening daily CAS functions and operations*

PROCESS
- Requirements Management
- Strategic Planning
- Risk Management
- Assessment
- Management Observations
- Event Investigation, Issues Management, Causals
- Lessons Learned & Continuous Improvement

TOOLS
- Performance Analysis
- Metrics and Trending
- Training

Assurance Integration CoP – *driving the outcome of CAS processes and tools throughout the organization*

PEOPLE
- Strategic Planning
  - Relationship Management (DOE, Leadership, line)
  - Culture and Engagement
  - Change management
- Leadership and staff engagement
  - Increase accountability
  - Inform leadership decisions (through data)
  - Developing the CAS leaders pipeline and networks

PROCESS
- CAS is well integrated with various functions (CONOPS, business functions, Quality, A-123/Internal Controls)
- CAS Performance Assurance Effectiveness