

# Worker Fall from Adjustable Work Platform

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**EFCOG Enforcement Coordination Working Group** 

Las Vegas, NV – Desert Research Institute – Rogers Auditorium

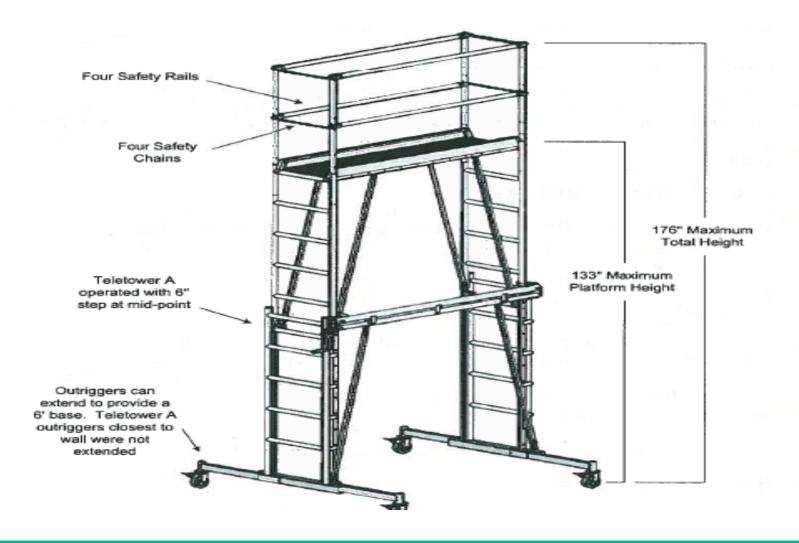
# Worker Fall from Adjustable Work Platform

#### PNOV WEA-2012-04, November 9, 2012

- On July 1, 2011, a worker fell approximately 12' from portable scaffolding during facility construction activities. (inspecting the work site for removing dry wall board)
- The worker required hospitalization due to sustained head injury and numerous broken ribs.



# **Work Platform**





# Work Platforms A,B,C

# Platform A





## **Enforcement Process Timeline**

#### 07/01/11 - Event Occurs, Fact Finding Held

- 07/08/11 DOE Accident Investigation Board appointed
- 07/11/11 SRNS Preliminary Investigation Report Issued
- 07/13/11 SRNS Scaffolding Assessment Report Issued
- 07/27/11 SRNS Independent Subcontractor Accident Investigation Report Issued

#### 08/01/11 - NTS Report Submitted

- 08/08/11 DOE Accident Investigation Report Issued
- 09/14/11 SRNS Root Cause Analysis Report Issued
- 10/03/11 Office of Enforcement letter notification of intent to investigate
- 11/15/11 Office of Enforcement onsite investigation
- 05/08/12 Office of Enforcement Investigation Report Issued
- 06/27/12 Office of Enforcement and SRNS Onsite Enforcement Conference
- 08/02/12 Teleconference follow-up to discuss Occupational Medicine from 06/27/12
- 11/09/12 Office of Enforcement issues PNOV (WEA-2012-04)
- 12/21/12 Office of Enforcement letter PNOV constitutes a Final Order



# **Event Response**

## Effective Immediate Response

- Immediately attended by co-workers who phoned for help
- First Responders arrived within minutes
- Transported to hospital via helicopter
- Immediate stand down of all scaffold work

## Thorough Event Investigation:

- SRNS Preliminary Accident Investigation
- SRNS Independent Accident Investigation
- SRNS Extent of Condition Review
- SRNS Root Cause Analysis
- DOE Accident Investigation

#### Robust Corrective Actions:

41 NTS and 23 Non-NTS Corrective Actions identified



## PNOV WEA-2012-04

- Two Severity Level I Violations
  - Hazard Identification, Assessment, Prevention, and Abatement
    - Base Civil Penalty \$75,000, adjusted to \$37,500 (50%)
  - Scaffold Safety
    - Base Civil Penalty \$75,000, adjusted to \$56,250 (25%)
- Two Severity Level II Violations
  - Training and Information
    - Base Civil Penalty \$37,500, adjusted to \$28,125 (25%)
  - Occupational Medicine
    - Base Civil Penalty \$37,500, no adjustment
- Total Civil Penalty \$225,000, adjusted to \$159,375



## **Lessons Learned**

- Plan for a broad review scope when a direct cause is not confirmed by preliminary investigation.
  - No Direct Cause Confirmed
    - No eye witnesses to the event
    - Worker doesn't remember
    - The physical evidence was inconclusive
  - Broad Review Encompassing
    - Safety programs
    - Training programs
    - Work environment
    - Work practices
    - Medical Surveillance programs



## **Lessons Learned**

- Recommend an Enforcement Conference to confirm understanding of the Investigation Report and follow up discussions to ensure accuracy in the report.
  - Occupational medicine issue was not fully understood by SRNS prior to receiving the investigation report
  - Discussed with OE who was receptive to feedback
  - After discussion, provided additional information to be considered
  - Learned that investigation report would not be updated, but instead the comments would be maintained for the record
  - Comments in the investigation report were carried forward to the PNOV notice



## **Lessons Learned**

- 3. Thorough and timely investigations along with robust corrective actions will be considered to mitigate civil penalties.
  - \$225K adjusted to \$159K
- 4. Maintain open and frequent communication with your local DOE Enforcement counterparts
  - They can be your advocate and help influence civil penalty mitigation considerations
  - They will help ensure timely and accurate communications with the Office of Enforcement

