NTS Report Quality – A Worker Safety and Health Perspective

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NTS Report Quality

- Improves effectiveness of communications between contractor and HS-40
- Demonstrates appropriate application of worker safety and health and nuclear safety requirements
- Serves as a key information source for enforcement decisionmaking

Important NTS Report Elements

- Noncompliance Type
- Reporting Dates
- Discovery Method
- Noncompliance Description
- Worker Safety and Health Information
- Corrective Actions
- Citations

Noncompliance Type

- Worker Safety and Health (WSH), Nuclear Safety (NUC) or combined NUC/WSH

Noncompliance Report - Main Information			
Location/Contractor			
Site:	Hanford Site-Richland	Program Office:	Civilian Radioactive Waste Managemer
Contractor:	Westinghouse Hanford Company	Prime Contractor:	CH2MHILL Plateau Remediation Comp
Facility:	222-S/Analytical Laboratory	Function:	Balance-of-Plant - Storage (except SNM
System/Building:		NC Type:	NUC/WSH

NTS Report Dates

Office of Health, Safety and Security

<u>Discovery date:</u> when the noncompliance was discovered

<u>Determination date:</u> when the noncompliance was determined to be NTS reportable

<u>Reporting date</u>: when NTS report is "submitted"

Noncompliance Report

NC ID: 2658

Noncompliance Report - Main Information

Location/Contractor

Site:	Hanford Site-Richland	Program Office:	Civilian Radioactive Waste Managemer
Contractor:	Westinghouse Hanford Company	Prime Contractor:	CH2MHILL Plateau Remediation Comp
Facility:	222-S/Analytical Laboratory	Function:	Balance-of-Plant - Storage (except SNM
System/Building:		NC Type:	NUC/WSH

Contacts

POC Type	Name	Phone	E-mail	Title
Event POC				
DOE PAAA POC				

Dates/Discovery Method

Discovery Date	PAAA Determination Date	Discovery Method
11/07/2008	11/07/2008	Internal Contractor Assessment

Implementation Plan & Schedule

Status History

Status	Date	Name	Phone	E-mail	Organization
Submitted	3/7/2007	Lisa German	301-903-7707	lisa.german@eh.doe.gov	

Discovery Method

Identifies the means by which a noncompliance is discovered:

- Internal Contractor Assessment
- Internal Rollup
- Contractor
- Contractor As Found
- By Event
- External DOE Assessment
- External Organization

Noncompliance Report

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Noncompliance Report - Main Information

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Contacts

POC Type	Name	Phone	E-mail	Title
Event POC				
DOE PAAA POC				

Dates/Discovery Method

Discovery Date	PAAA Determination Date	Discovery Method
11/07/2008	11/07/2008	Internal Contractor Assessment

Noncompliance Description

- Clearly identifies the noncompliance(s) and the circumstances that allowed the noncompliance(s) to occur.

Noncompliance Description		
Date	By	Narrative
03/07/2007	Lisa German	

Noncompliance Report - Worker Safety & Health Information		
Noncompliance Duration		
Date	By	Narrative

Worker Safety and Health Information

Provides information on the circumstances and extent of noncompliance condition:

- Noncompliance Duration
- Number of Workers Potentially Exposed
- Noncompliance Frequency
- Worker Proximity to Noncompliance
- Extent of Condition
- Potential/Actual Injuries/Illnesses
- Immediate Hazard Abatement/Compensatory Actions

Noncompliance Report - Worker Safety & Health Information		
Noncompliance Duration		
Date	By	Narrative
Number of Workers Potentially Exposed		
Date	By	Narrative
Noncompliance Frequency		
Date	By	Narative
Personnel Proximity to Noncompliance		
Date	By	Narrative
Extent to Condition		
Date	By	Narrative
Potential/Actual Injury/Illness		
Date	By	Narrative
Immediate Hazard Abatement/Compensatory Actions		
Date	By	Narrative

Corrective Actions

- Describes the steps the contractor plans to take to eliminate the deficiencies resulting in the noncompliances and avoid recurrence.
- Additional corrective actions can be added as necessary
- Effectiveness reviews

NonCompliance Report - Corrective Actions

Seq #	Corrective Action Title	Target Date	Completion Date
1	test	02/03/2009	

Corrective Action Description/Target Date Change Justifications

Date	By	Narrative
Seq #: 1	***Title:*** **test**	
01/30/2008	Docket Clerk	testing the

Regulatory Citations

- Identifies the regulatory requirements applicable to the noncompliant condition
- Permits entry of <u>all</u> 851 and invoked requirements (e.g., 1910, NFPA, etc.)

Noncompliance Report - Contractor Reported Citations		
Citation	Description	Citation Type

Reporting Tips

- When in doubt, report (mitigation opportunity)
- Corrective actions:
  - Use active voice
  - Describe outputs
  - Explain how corrective actions address noncompliances
- Explain acronyms
- Extent of condition
- DOE Enforcement Process Overview and Enforcement Coordinator Handbook