



UCOR
URS | CH2M
Oak Ridge LLC

*Safely Delivering the Department of Energy's Vision
for the East Tennessee Technology Park Mission*

EFCOG Spring 2014

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Mark S. Holowczak

Event Description

- **Physical security was informed of an issue with converters.**
 - Inadequate foaming left the potential for revealing internals (confidential restricted data).
- **Four Converters were initially found.**
- **Extent of condition located nineteen others.**
 - Population was from 180-200 units.
- **Of the nineteen, fourteen had the potential to reveal internals.**

Issue Description (Continued)

- Units weighed approximately 12000 pounds.
- Staged in a manner to prevent physical and visual access.
- Due to staging arrangements, walk-downs did not allow physical inspection.
- Units were moved using heavy equipment when uncleared personnel were in the area.
- Movement had caused foam to shaken or knocked loose.

Interview Process

- **Fellow contractor performed the investigation.**
- **Both phone and face-to-face interviews were conducted.**
- **Fully admitted that this was a common occurrence.**
- **Investigation did not indicate that one individual was responsible.**
- **Root Cause was found to be non-compliance with Security procedure.**

Office of Enforcement (OE) Concerns

- **Access to confidential restricted data.**
- **“Noncompliant conditions existed for several weeks before UCOR project management reported the deficiencies to the UCOR security organization.”**
 - Situation was immediately alleviated.
- **“UCOR’s slow response to these known noncompliant conditions contributed to the potential for uncleared workers to gain unauthorized access to classified information.”**
 - Only access possible was using a cleared operator and heavy equipment.

Issues

- **Fellow contractor reported issue to database.**
- **UCOR was unaware of this reporting.**
- **DOE-ORO PAAA Coordinator was unaware of OE actions.**
- **DOE-ORO Security group was aware but did not communicate.**
- **Whilst at EFCOG, OE personnel call and inform of the letter.**
 - **Spelled my name incorrectly.**
- **Spent a day and a half responding.**
- **Two weeks of follow-up activity.**